

## ASSET TRANSFER FORM

- Complete this form to transfer your assets from your broker to your MeDirect Investment Account.
- If you would like to transfer assets from more than one broker, fill in a separate form for each broker.
- Enclose your latest statements of holdings.
- MeDirect has the right to refuse the transfer of one or more securities.

### 1. ABOUT YOURSELF

**First Applicant:**

Title: \_\_\_\_\_

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

ID Card/Passport No.: \_\_\_\_\_

**Joint Applicant (if applicable):**

Title: \_\_\_\_\_

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

ID Card/Passport No.: \_\_\_\_\_

### 2. DETAILS OF BROKER/NOMINEE ASSETS HOLDER

Name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Email: \_\_\_\_\_

Telephone No.: \_\_\_\_\_

I authorise my existing broker/nominee assets holder, as detailed above, to transfer the securities listed in the attached "Securities Acceptance Form/s" and any cash held on my behalf to my Investment account held with MeDirect.

\_\_\_\_\_  
First Applicant's Signature\_\_\_\_\_  
Joint Applicant's Signature

Date: \_\_\_\_\_

Date: \_\_\_\_\_

### 3. DECLARATION

I/we hereby certify that this transfer constitutes no change in beneficial ownership. I/we confirm that I/we have been given the opportunity to read the *Investment Services Terms and Conditions* that will form the contract between us and upon which MeDirect intends to rely. I/we declare that this *Asset Transfer Form* has been completed to the best of my/our knowledge and belief.

I/we hereby indemnify you and any of your directors, officers, agents or employees against all actions, suits, proceeding, claims, demands, costs, fines, expenses and liabilities whatsoever which may arise or occur or be taken, commenced, made or sought from or against you or any of your directors, officers, agents or employees in connection with the related asset transfer, save as a result of any fraudulent or grossly negligent act or omission on your part. In particular, MeDirect shall not be held responsible and I/we shall not have any claim/s directly or indirectly arising out of the performance of the investment/s which will be transferred to MeDirect for safekeeping as my/our nominee.

I/we understand that any position in securities deemed to be complex, as per Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on Markets in Financial Instruments (MIFID II), will be held at MeDirect with some restrictions. This means that I/we will not be able to top up/replace/rebalance such positions held without prior arrangement with MeDirect. I/we also understand that some positions will have to be held until maturity or until they are redeemed by the issuer due to reasons that limit or prohibit their tradability thereof.

MeDirect has not discussed with me/us the suitability of the securities for me/us given my/our personal and financial circumstances and has not made any recommendation to me/us in connection with these securities.

I/we understand that MeDirect (the "Bank") shall process the personal data provided below and any other data which I/we subsequently give the Bank in any manner strictly in accordance with the applicable data protection legislation then in force (including Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 commonly known as the 'General Data Protection Regulation') and in accordance with the Bank's Privacy Notice as may be updated from time to time, which is accessible from [www.medirect.com.mt](http://www.medirect.com.mt).

The above do not preclude any conditions previously stipulated in the *General Terms and Conditions* and the *Investment Services Terms and Conditions* of MeDirect.

\_\_\_\_\_  
First Applicant's Signature

\_\_\_\_\_  
Joint Applicant's Signature

Date: \_\_\_\_\_

Date: \_\_\_\_\_

#### BANK USE ONLY

Client Number (First Applicant): \_\_\_\_\_

Client Number (Joint Applicant): \_\_\_\_\_

Investment ID.: \_\_\_\_\_

Relationship Manager 1: \_\_\_\_\_

Relationship Manager 2: \_\_\_\_\_